

# **A Universe of Binding and Computation**

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# Goal

Functional programming with **binding and scope**

Applications:

- \* Domain-specific logics for reasoning about code
- \* Mechanized metatheory

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*Two important ingredients...*

# Binding

Represent bound variables:

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E.g. type **exp** representing syntax of  $\lambda$ -terms:

$\text{app} : \text{exp} \Rightarrow \text{exp} \Rightarrow \text{exp}$

$\text{lam} : (\text{exp} \Rightarrow \text{exp}) \Rightarrow \text{exp}$

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weak function space representing binding:  
means “an exp in the presence of a new exp”



# Computation

pattern-matching  
recursive function



normalize : exp  $\rightarrow$  exp

normalize (lam x.e) = ...

normalize (app e1 e2) = ...

# Our Approach



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[unlike Twelf/Delphin/Beluga]

# Our Approach

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2. As two types in the same language  
[unlike Twelf/Delphin/Beluga]

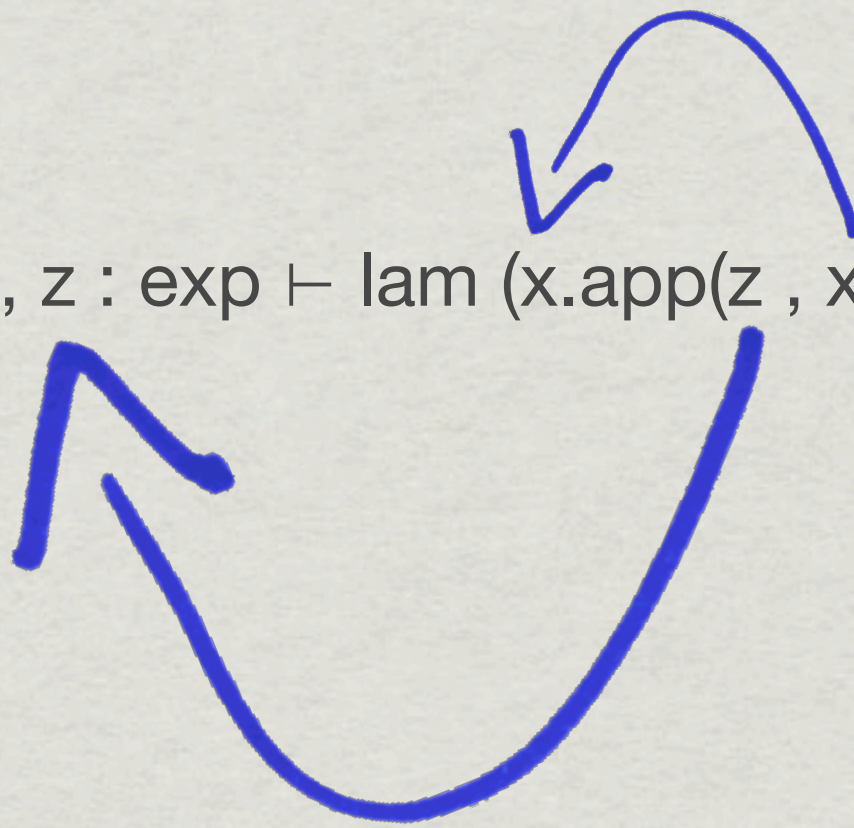
3. Treats variables *pronominally*

[unlike nominal logic / FreshML / cαml]

# Pronominal Variables

Treat variables as **pronouns**, not nouns:  
reference to a designated binding site

$y : \text{exp} , z : \text{exp} \vdash \text{lam } (x.\text{app}(z , x)) : \text{exp}$



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Can test  
equality of variables  
as pointers,  
not as names

# Contextual Types

Contextual types  $\langle \Psi \rangle$  A track scoping of variables:

E.g.  $\langle x_1 : \text{exp} \dots x_n : \text{exp} \rangle \text{exp}$




free vars in  $x_1 \dots x_n$

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free vars in  $x_1 \dots x_n$

Permit precise types for computations:

normalize :  $\langle \cdot \rangle \text{exp} \supset \langle \cdot \rangle \text{exp}$

normalize/open :  $\forall \Psi. \langle \Psi \rangle \text{exp} \supset \langle \Psi \rangle \text{exp}$

# This Paper

- \* Implement a framework as a *universe* in Agda
- \* Examples and comparisons with Twelf/Delphin/Beluga/FreshML
- \* Datatype-generic implementations of the structural properties



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# Universe

Ingredients:

- \* Datatype of codes naming a user-defined collection of types

`data Code where ...`

- \* Interpretation function maps codes to Agda Sets:  
`Elements : Code → Set`

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`Elements : Code → Set`

This work: universe of **contextual** types

# Contextual Universe

- \* Datatype of codes for contextual types:

data Ctx  $\Psi ::= \cdot \mid \Psi, D$

data Code  $A ::= A \text{ list} \mid A \supset B \mid D \mid \Psi \Rightarrow A \mid \forall \Psi. A$

- \* Interpretation  $\langle \Psi \rangle A$ :

$\langle \_ \rangle \_ : \text{Ctx} \rightarrow \text{Code} \rightarrow \text{Set}$

# Interpretation

Context                      Code for a Contextual Type                      Agda Set

↓                                      ↓                                      ↙

$$\langle \Psi \rangle (A \text{ list}) = \text{List } \langle \Psi \rangle A$$
$$\langle \Psi \rangle (A \supset B) = \langle \Psi \rangle A \rightarrow \langle \Psi \rangle B$$
$$\langle \Psi \rangle (\Psi' \Rightarrow A) = \langle \Psi, \Psi' \rangle A$$
$$\langle \Psi \rangle (\forall \Psi'. A) = (\Psi' : \text{Ctx}) \rightarrow \langle \Psi \rangle (A \Psi')$$
$$\langle \Psi \rangle D = \dots$$

# Interpretation

app : (exp \* exp)  $\Rightarrow$  exp

lam : (exp  $\Rightarrow$  exp)  $\Rightarrow$  exp

$\langle \Psi \rangle$  exp = Expr  $\Psi$  where

data Expr : Ctx  $\rightarrow$  Set where

lam :  $\langle \Psi \rangle$ (exp  $\Rightarrow$  exp)  $\rightarrow$  Expr  $\Psi$

app :  $\langle \Psi \rangle$ (exp \* exp)  $\rightarrow$  Expr  $\Psi$

var : (exp  $\in$   $\Psi$ )  $\rightarrow$  Expr  $\Psi$

# Pronominal Variables

var : (exp  $\in$   $\Psi$ )  $\rightarrow$  Expr  $\Psi$



data  $\_ \in \_$  : Datatype  $\rightarrow$  Ctx  $\rightarrow$  Set where

i0 : D  $\in$  ( $\Psi$ , D)

iS : (D  $\in$   $\Psi$ )  $\rightarrow$  D  $\in$  ( $\Psi$ , D')

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Normalize syntactic  $\lambda$ -terms by interpreting them as computational functions  $\rhd$  in the metalanguage

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Normalize syntactic  $\lambda$ -terms by interpreting them as computational functions  $\supset$  in the metalanguage

$\text{norm} : \langle \cdot \rangle (\text{exp} \supset \text{exp})$


$\text{norm } e = \text{reify } (\text{eval } e)$  where

$\text{eval} : \langle \cdot \rangle (\text{exp} \supset \text{sem})$

$\text{reify} : \langle \cdot \rangle (\text{sem} \supset \text{exp})$

# Scope-correct NBE

Normalize syntactic  $\lambda$ -terms by interpreting them as computational functions  $\supset$  in the metalanguage

norm :  $\langle \cdot \rangle$  (exp  $\supset$  exp)  maps closed expressions to closed expressions

norm e = reify (eval e) where

eval :  $\langle \cdot \rangle$  (exp  $\supset$  sem)

reify :  $\langle \cdot \rangle$  (sem  $\supset$  exp)

# Semantics

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\* First cut:

$$\text{sem} = \mu s. s \supset s$$

$\text{eval} : \langle \cdot \rangle \text{exp} \supset \text{sem}$

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- \* But how do you write  $\text{reify} : \langle \cdot \rangle \text{sem} \supset \text{exp}$  ?

*Requires a slightly different target type...*

# Semantics

sem  $\supset$  sem



**Semantic**  $S ::= \text{slam } \varphi \mid \text{neut}(R)$

**Neutral**  $R ::= x \mid \text{napp}(R, S)$

$\text{napp} : \text{neu} \Rightarrow \text{sem} \Rightarrow \text{neu}$

$\text{neut} : \text{neu} \Rightarrow \text{sem}$

$\text{slam} : (\text{sem} \supset \text{sem}) \Rightarrow \text{sem}$



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napp : neu  $\Rightarrow$  sem  $\Rightarrow$  neu

neut : neu  $\Rightarrow$  sem

slam : (sem  $\supset$  sem)  $\Rightarrow$  sem

however, it's not enough that  $\varphi$   
works in the current context  $\Psi$

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eval:  $\langle \cdot \rangle$  (exp  $\supset$  sem)

eval:  $\langle \cdot \rangle \forall \Psi_e, \Psi_s.$   
env  $\Psi_e \Psi_s$   
 $\supset [\Psi_e]exp \supset [\Psi_s]sem$

$\text{eval: } \langle \cdot \rangle \forall \Psi_e, \Psi_s.$   
 $\text{env } \Psi_e \Psi_s$   
 $\supset [\Psi_e]\text{exp} \supset [\Psi_s]\text{sem}$

$\langle \Psi \rangle ([\Psi'] A) = \langle \Psi' \rangle A$

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 $\supset [\Psi_e]\text{exp} \supset [\Psi_s]\text{sem}$

$\langle \Psi \rangle ([\Psi'] A) = \langle \Psi' \rangle A$

Environment type:

$\text{env } \Psi_e \Psi_s = [\Psi_e](\text{exp}\#) \supset [\Psi_s]\text{sem}$

$\text{eval: } \langle \cdot \rangle \quad \forall \Psi_e, \Psi_s.$   
 $\text{env } \Psi_e \Psi_s$   
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Environment type:

$\text{env } \Psi_e \Psi_s = [\Psi_e](\text{exp}\#) \supset [\Psi_s]\text{sem}$

$\langle \Psi \rangle (D\#) = D \in \Psi$



eval:  $\langle \cdot \rangle \forall \Psi_e, \Psi_s.$

env  $\Psi_e \Psi_s$

$\supset [\Psi_e]_{\text{exp}} \supset [\Psi_s]_{\text{sem}}$

eval  $\sigma$  (var  $x$ ) =  $\sigma x$

eval  $\sigma$  (app  $e_1 e_2$ ) = appsem (eval  $\sigma e_1$ ) (eval  $\sigma e_2$ )

eval  $\sigma$  (lam  $e$ ) = ?

eval:  $\langle \cdot \rangle \forall \Psi_e, \Psi_s.$

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eval $\{\Psi_e\}\{\Psi_s\} \sigma (\text{lam } e) = \text{slam } \varphi$

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           env  $\Psi_e \Psi_s$   
            $\supset [\Psi_e]exp \supset [\Psi_s]sem$

eval $\{\Psi_e\}\{\Psi_s\} \sigma (\text{lam } e) = \text{slam } \varphi$  where

$\varphi : \langle \Psi_s \rangle \forall \Psi_s'. \Psi_s' \Rightarrow (\text{sem} \supset \text{sem})$

$\varphi = ?$

eval:  $\langle \cdot \rangle \forall \Psi_e, \Psi_s.$   
           env  $\Psi_e \Psi_s$   
            $\supset [\Psi_e]exp \supset [\Psi_s]sem$

eval $\{\Psi_e\}\{\Psi_s\} \sigma$  (lam e) = slam  $\varphi$  where

$\varphi : \langle \Psi_s \rangle \forall \Psi_s'. \Psi_s' \Rightarrow (sem \supset sem)$

$\varphi \Psi_s' s' = eval\{\Psi_e, exp\}\{\Psi_s, \Psi_s'\} \sigma' e$

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$\sigma' : ([\Psi_e, \text{exp}]\text{exp}\# \supset [\Psi_s, \Psi_s']\text{sem}$

$\sigma' = \text{extend } \sigma \text{ with } s'$

eval:  $\langle \cdot \rangle \forall \Psi_e, \Psi_s.$   
           env  $\Psi_e \Psi_s$   
            $\supset [\Psi_e]exp \supset [\Psi_s]sem$

eval $\{\Psi_e\}\{\Psi_s\} \sigma$  (lam e) = slam  $\varphi$  where

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$\sigma' : ([\Psi_e, exp]exp\# \supset [\Psi_s, \Psi_s']sem$

$\sigma' i0 = s'$

$\sigma' (iS x) = weaken (\sigma x)$  with  $\Psi_s'$

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*In raw Agda, would need to implement for each object language...*

# This Paper

- \* Implement framework as a *universe* in Agda
- \* Examples and comparisons with Twelf/Delphin/Beluga/FreshML
- \* **Datatype-generic implementations of the structural properties**

# Structural Properties

- \* Weakening:  $\forall \Psi. \Psi \Rightarrow (A \supset (D \Rightarrow A))$
- \* Substitution:  $\forall \Psi. \Psi \Rightarrow (D \Rightarrow A) \supset (D \supset A)$
- \* Exchange:  $\forall \Psi. \Psi \Rightarrow (D_1 \Rightarrow D_2 \Rightarrow A) \supset (D_2 \Rightarrow D_1 \Rightarrow A)$
- \* Contraction:  $\forall \Psi. \Psi \Rightarrow (D \Rightarrow D \Rightarrow A) \supset (D \Rightarrow A)$
- \* Strengthening:  $\forall \Psi. \Psi \Rightarrow (D \Rightarrow A) \supset A$

# Structural Properties

In *mixed, pronominal* setting,  
structural properties do not hold at all types:

Computational functions express  
side conditions on the current context

$$\frac{(\Psi \vdash J) \supset 0}{\Psi \vdash J'}$$

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“it is not the case that  $\Psi \vdash J$ ”

Proof **cannot** be weakened  
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“it is not the case that  $\Psi \vdash J$ ”

Proof **cannot** be weakened  
with  $J$  because  $\Psi, J \vdash J$

*But there are sufficient conditions under which  
they do hold...*

# Structural Properties

Instances of weakening:



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\*  $(\text{exp} \supset \text{exp}) \supset (\text{exp} \Rightarrow (\text{exp} \supset \text{exp}))$  **?**

# Structural Properties

Weakening:  $(\text{exp} \supset \text{exp}) \supset (\text{exp} \Rightarrow (\text{exp} \supset \text{exp}))$

Given, for example,

normalize :  $\langle \cdot \rangle (\text{exp} \supset \text{exp})$

normalize (lam x.e) = ...

normalize (app e1 e2) = ...

normalize (var x) = impossible

# Structural Properties

Weakening:  $(\text{exp} \supset \text{exp}) \supset (\text{exp} \Rightarrow (\text{exp} \supset \text{exp}))$

Must create

$\text{normalize}' : \langle \cdot \rangle (\text{exp} \Rightarrow (\text{exp} \supset \text{exp}))$

$\text{normalize}' y.(\text{lam } x.e) = \dots$

$\text{normalize}' y.(\text{app } e1 \ e2) = \dots$

$\text{normalize}' y.(\text{var } y) = ???$




# Structural Properties

- \* Structural properties are not built-in (unlike LF):  
OK that they only hold at certain types
- \* Implemented datatype-generically but conditionally: “free” when conditions hold
- \* Conditions discharged automatically

weaken:  $A \supset (D \Rightarrow A)$  if  $\text{canWkn}(D,A)$

possible when D does not  
appear to the left of an  $\supset$  in A



# Conclusion

- \* Implemented a framework for mixing **binding** and **computation** in a pronominal setting
- \* Datatype-generic implementations of the structural properties by recursion over universe
- \* See paper for more examples and comparisons with Twelf/Delphin/Beluga/FreshML

# Future Work

- \* Positivity check for signature + termination
- \* Equational behavior of structural properties
- \* Named syntax for variables;  
More-convenient syntax for structural properties
- \* Derived induction principles for structural props
- \* Dependent types!

Thanks for listening!