Towards Dependent Types over Programmer-Defined Index Domains

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• int

• int int (2)

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- 2:int

```
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- 2:int 2:int(2)
- list(string)

```
intint (2)2:int2:int (2)
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• list(string)
list(string)(10)

• $cons: \tau \rightarrow list(\tau) \rightarrow list(\tau)$

```
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    int (2)
• 2:int
   2:int(2)
• list(string)
    list(string)(10)
• cons: \tau \rightarrow list(\tau) \rightarrow list(\tau)
    \mathtt{cons} : \Pi \mathtt{i} : \mathtt{int}. \, \tau \to \mathtt{list}(\tau)(\mathtt{i}) \to \mathtt{list}(\tau)(\mathtt{i} + \mathtt{1})
```

1

Dependent Types are Useful

- Express interesting properties
- Bake reasoning into the code
- Serve as machine-checked documentation
- Enable richer interfaces at module boundaries
- Obviate some dynamic checks

No phase distinction

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Is there another way out?

Xi and Pfenning's realization:

instead of
$$2:int(2)$$
, $2:int(s(sz))$

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- Types depend on static proxies for run-time data (proxies are drawn from index domains)
- Indices are pure
- Constraint solver decides relationships between indices

```
append: \Pi i, j :: I. list(\tau)(i) \times list(\tau)(j) \rightarrow list(\tau)(plus i j)
```

```
append:\Pii, j::I.list(\tau)(i) × list(\tau)(j) \rightarrow list(\tau)(plus i j) zip:\Pii::I.list(\tau_1)(i) × list(\tau_2)(i) \rightarrow list(\tau_1 \times \tau_2)(i)
```

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\begin{split} & \text{append}: \Pi \text{ i, j} :: I. \text{ list}(\tau)(\text{i}) \times \text{ list}(\tau)(\text{j}) \rightarrow \text{ list}(\tau)(\text{plus i j}) \\ & \text{zip}: \Pi \text{ i} :: I. \text{ list}(\tau_1)(\text{i}) \times \text{ list}(\tau_2)(\text{i}) \rightarrow \text{ list}(\tau_1 \times \tau_2)(\text{i}) \\ & \text{zipApp :} \\ & \Pi \text{ i, j} :: I. \text{ list}(\tau)(\text{i}) \times \text{ list}(\tau)(\text{j}) \rightarrow \text{ list}(\tau \times \tau)(\text{plus i j}) \\ & \text{fun zipApp (lst1, lst2)} = \\ & \text{zip (append (lst1, lst2), append (lst2, lst1))} \end{split}
```

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\begin{array}{c} \operatorname{append}: \Pi \ i,j :: I. \ \operatorname{list}(\tau)(i) \times \operatorname{list}(\tau)(j) \to \operatorname{list}(\tau)(\operatorname{plus} \ i \ j) \\ \operatorname{zip}: \Pi \ i :: I. \ \operatorname{list}(\tau_1)(i) \times \operatorname{list}(\tau_2)(i) \to \operatorname{list}(\tau_1 \times \tau_2)(i) \\ \\ \operatorname{zipApp}: \\ \Pi \ i,j :: I. \ \operatorname{list}(\tau)(i) \times \operatorname{list}(\tau)(j) \to \operatorname{list}(\tau \times \tau)(\operatorname{plus} \ i \ j) \\ \\ \operatorname{fun} \ \operatorname{zipApp} \ (\operatorname{lst1}, \operatorname{lst2}) = \\ \\ \operatorname{zip} \ (\operatorname{append} \ (\operatorname{lst1}, \operatorname{lst2}), \ \operatorname{append} \ (\operatorname{lst2}, \operatorname{lst1})) \end{array}
```

Why does this type check?

```
\begin{split} \Pi \text{ i, j:: I. list}(\tau)(\text{i}) \times \text{list}(\tau)(\text{j}) &\rightarrow \text{list}(\tau \times \tau)(\text{plus i j}) \\ \text{fun zipApp (lst1, lst2)} &= \\ \text{zip (append (lst1, lst2), append (lst2, lst1))} \end{split}
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- Synthesize obvious type list(τ)(plus j i)
- Observe that it must have type list(τ)(plus i j)
- Generate constraint ∀ i, j :: I. plus i j = plus j i
- Constraint solver (presumably) OKs
- Replace equal indices

DML Subset Sorts

Subset sorts require/assert the truth of a proposition:

$$\mathtt{nth}: \Pi \ \mathtt{i}, \mathtt{j}:: \mathtt{I} \ | \ \mathtt{i} < \mathtt{j}. \ \mathtt{list}(\tau)(\mathtt{j}) \to \mathtt{int} \ (\mathtt{i}) \to \tau$$

filter:
$$\Pi$$
 i:: I. $(\tau \to 2) \to list(\tau)(i) \to \Sigma$ j:: I | j < i. $list(\tau)(j)$

These propositions about indices are checked/assumed by the constraint solver

DML(C) Language Schema

Different implementations use different index domains:

- Xi's DML has integer indices with linear integer constraints
- Another of Xi's uses finite sets with a constraint solver based on model checking
- Sarkar's language has LF terms as indices with a constraint solver based on Twelf

Problems with DML(C)

- Language designer chooses the constraint domain
- Particular constraint solver is part of the language specification

Our Goal Language

- Programmer specifies the index domains appropriate to her program
- Constraint solver is just library code that helps her prove properties

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Verifying interesting properties must be practical

Key Design Issues

- 1. Indices as static data
- 2. Notions of equality
- 3. Proofs and propositions
- 4. Using proofs in run-time terms

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Two Levels

- Types (τ) classify terms (e)
- Kinds (κ) classify constructors (σ)

Constructors of kind T are types

Basic Expressions

```
\kappa ::= T
\sigma, \tau ::= \tau_1 \rightarrow \tau_2 | \tau_1 \times \tau_2 | \tau_1 + \tau_2 | \text{unit} | \text{void}
    e ::= x | \lambda x : \tau. e | e_1 e_2 | fix e
                       |(e_1,e_2)|fst e | snd e
                       |\operatorname{inl}^{	au_2} \operatorname{e}|\operatorname{inr}^{	au_1} \operatorname{e}
                       case e of (inl x_1 \Rightarrow e_1 \mid inr x_2 \Rightarrow e_2)
                       | () | abort^{\tau} e
```

Static Semantics

Separate contexts so phase distinction is as clear as in ML:

$$\Gamma ::= \cdot | \Gamma, \mathbf{x} : \tau
\Delta ::= \cdot | \Delta, \mathbf{u} :: \kappa$$

Basic judgements:

- $\Delta \vdash \kappa$ kind
- $\Delta \vdash \sigma :: \kappa$
- Δ ; $\Gamma \vdash e : \tau$

Index Domains are Kinds

Indices are *static* proxies for run-time data:

- Indices are constructors
- An index domain is a kind

Index Domains are Kinds

$$\kappa$$
 ::= T | I
$$\sigma, \tau, \iota ::= \dots$$
 | int (ι) | list $(\tau)(\iota)$ | z | s ι | e ::= \dots | n | e₁ + e₂ | cons e₁ e₂ | \dots

Kinding of Indices and Types

$$\frac{\Delta \vdash \iota :: \mathbf{I}}{\Delta \vdash \mathbf{z} :: \mathbf{I}} \qquad \frac{\Delta \vdash \iota :: \mathbf{I}}{\Delta \vdash \mathbf{s} \; \iota :: \mathbf{I}}$$

$$\frac{\Delta \vdash \iota :: \mathbf{I}}{\Delta \vdash \mathsf{int}\,(\iota) :: \mathbf{T}} \qquad \frac{\Delta \vdash \tau :: \mathbf{T} \quad \Delta \vdash \iota :: \mathbf{I}}{\Delta \vdash \mathsf{list}(\tau)(\iota) :: \mathbf{T}}$$

Primitives have Index-Aware Types

$$\frac{\Delta\,;\,\Gamma\vdash e_1: \mathrm{int}\,(\iota_1)\quad \Delta\,;\,\Gamma\vdash e_2: \mathrm{int}\,(\iota_2)}{\Delta\,;\,\Gamma\vdash e_1: \mathrm{int}\,(\mathsf{plus}\;\iota_1\;\iota_2)}$$

$$\frac{\Delta \; ; \; \Gamma \vdash \mathsf{e_1} \; ; \; \Gamma \vdash \mathsf{e_2} \; ; \; \Gamma \vdash \mathsf{e_2} \; ; \; \mathsf{list}(\tau)(\iota)}{\Delta \; ; \; \Gamma \vdash \mathsf{cons} \; \mathsf{e_1} \; \mathsf{e_2} \; ; \; \mathsf{list}(\tau)(\mathsf{s} \; \iota)}$$

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$$\frac{\Delta \; ; \; \Gamma \vdash \mathsf{e_1} \; ; \; \tau \quad \Delta \; ; \; \Gamma \vdash \mathsf{e_2} \; : \mathsf{list}(\tau)(\iota)}{\Delta \; ; \; \Gamma \vdash \mathsf{cons} \; \mathsf{e_1} \; \mathsf{e_2} \; : \mathsf{list}(\tau)(\mathsf{s} \; \iota)}$$

What's plus?

Recursion and Functions

$$\kappa ::= T \mid I \mid \kappa_1 \to \kappa_2$$

$$\sigma, \tau, \iota ::= \ldots$$

$$\mid \text{NATrec}_{\mathtt{c}} \ \iota \ \text{of} \ (\mathtt{z} \Rightarrow \sigma_1 \mid \mathtt{s} \ \mathtt{i'} \ \mathtt{withres} \Rightarrow \sigma_2)$$

$$\mid \mathtt{u} \mid \lambda_{\mathtt{c}} \ \mathtt{u} :: \kappa. \ \sigma \mid \sigma_1 \ \sigma_2$$

Kind formation and kinding rules are standard

plus is Definable

plus ::= $\lambda_c i, j :: I. NATrec_c i of (z \Rightarrow j | s i' with res \Rightarrow s res)$

Dependent Types are Polymorphism

$$append: \Pi i, j :: I. list(\tau)(i) \times list(\tau)(j) \rightarrow list(\tau)(plus i j)$$

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Some terms require/produce indices

$$\sigma, \tau, \iota ::= \ldots | \Pi \mathbf{u} :: \kappa. \tau | \Sigma \mathbf{u} :: \kappa. \tau$$
 $e ::= \ldots | \Lambda \mathbf{u} :: \kappa. \mathbf{e} | \mathbf{e} [\sigma]$
 $| \operatorname{pack} (\sigma, \mathbf{e}) \operatorname{as} (\Sigma \mathbf{u} :: \kappa. \tau)$
 $| \operatorname{unpack} (\mathbf{u}, \mathbf{x}) = \mathbf{e}_1 \operatorname{in} \mathbf{e}_2$

Dependent Functions

$$\frac{\Gamma\,;\,\Delta,\mathtt{u}\,::\,\kappa\,\vdash\mathtt{e}\,:\,\tau}{\Delta\,;\,\Gamma\,\vdash\Lambda\,\mathtt{u}\,::\,\kappa.\,\,\mathtt{e}\,:\,\Pi\,\mathtt{u}\,::\,\kappa.\,\,\tau}$$

$$\frac{\Delta \; ; \; \Gamma \vdash \mathsf{e} : \Pi \; \mathsf{u} :: \kappa. \; \tau \quad \Delta \vdash \sigma :: \kappa}{\Delta \; ; \; \Gamma \vdash \mathsf{e}[\sigma] : [\sigma/\mathsf{u}]\tau}$$

Dependent Pairs

$$\frac{\Delta \vdash \sigma :: \kappa \quad \Delta \; ; \; \Gamma \vdash e : [\sigma/u]\tau}{\Delta \; ; \; \Gamma \vdash \mathsf{pack} \; (\sigma, e) \; \mathsf{as} \; (\Sigma \, \mathsf{u} :: \kappa. \, \tau) : \Sigma \, \mathsf{u} :: \kappa. \, \tau}$$

$$\frac{\Delta \; ; \; \Gamma \; \vdash \mathsf{e_1} : \Sigma \; \mathsf{u} :: \kappa_1.\; \tau_1 \quad \Gamma, \mathsf{x} : \tau_1 \; ; \; \Delta, \mathsf{u} :: \kappa_1 \; \vdash \mathsf{e_2} : \tau_2 \quad \Delta \; \vdash \tau_2 \; \mathsf{type}}{\Delta \; ; \; \Gamma \; \vdash \mathsf{unpack} \; (\mathsf{u}, \mathsf{x}) = \mathsf{e_1} \; \mathsf{in} \; \mathsf{e_2} : \tau_2}$$

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Definitional Equality

- Given by some terminating decision procedure (often reduction to normal form)
- Type system always allows the silent replacement of definitional equals; e.g.,

$$\frac{\Delta \; ; \; \Gamma \; \vdash \mathsf{e} \; : \tau \quad \Delta \; \vdash \; \tau \; \equiv \; \tau' \; :: \mathtt{T}}{\Delta \; ; \; \Gamma \; \vdash \; \mathsf{e} \; : \tau'}$$

Definitional Equality Judgements

- $\Delta \vdash \kappa_1 \equiv \kappa_2 \, \text{kind}$ congruent equivalence relation
- $\Delta \vdash \sigma_1 \equiv \sigma_2 :: \kappa$ congruent equivalence relation with β , rules for primitive recursion, etc.
- None for terms

zipApp with Definitional Equality

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Programmer must be allowed to add new equalities!

Propositional Equality

Add separate notion of *propositional equality* (EQ_{κ}(σ_1, σ_2)) introduced by explicit proofs

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- refl s z: $PF(EQ_I(s z, s z))$
- Eq_ss: Π i, j::I. $PF(EQ_I(i, j)) \rightarrow PF(EQ_I(s i, s j))$

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How can you use a $PF(EQ_I(i, j))$?

Extensional Equality Elim Rule

Propositional equality induces definitional equality:

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- Called the equality reflection or extensionality rule
- Studied in Martin-Löf's extensional type theory
 [Martin-Löf; Constable et al.; Hofmann]
- Makes type checking undecidable

Intensional Equality Elim Rule

Explicitly use an equality proof to change the type of a particular term:

$$\frac{\Delta \; ; \; \Gamma \vdash \mathsf{e} : \mathsf{int} \; (\iota_1) \quad \Delta \; ; \; \Gamma \vdash \pi : \mathsf{PF}(\mathsf{EQ}_\mathsf{I}(\iota_1, \iota_2))}{\Delta \; ; \; \Gamma \vdash \mathsf{e} \; \mathsf{because} \; \pi : \mathsf{int} \; (\iota_2)}$$

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- Studied in intensional Martin-Löf type theory
- Preserves decidability of type checking
- Some "extensional concepts" can be added

[Hofmann; Altenkirch]

Quiz

In DML, the type checker uses a constraint solver to prove indices equal. Is this extensional or intensional?

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In both views, definitional equality is more complicated than simple expansion of definitions

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Recently, proofs of *type* equality in Haskell have been studied with applications to:

• type dynamic

[Baars, Swierstra; Cheney, Hinze; Weirich]

polytypic programming

[Cheney, Hinze]

tagless interpreters and metaprogramming

[Sheard, Pasalic; Peyton Jones]

$$PF(EQ_T(\tau_1, \tau_2)) := \Pi f :: T \to T. (f \tau_1) \to (f \tau_2)$$

$$\mathsf{PF}(\mathsf{EQ_T}(au_1, au_2)) := \Pi \, \mathsf{f} :: \mathsf{T} \to \mathsf{T}. \, (\mathsf{f} \, au_1) \to (\mathsf{f} \, au_2)$$

Reasonable intro rules definable:

$$\mathtt{refl}: \mathtt{PF}(\mathtt{EQ_T}(\tau,\tau)) := \Lambda \, \mathtt{f} :: \mathtt{T} \to \mathtt{T}.\, \lambda \, \mathtt{x} : (\mathtt{f} \, \tau).\, \mathtt{x}$$

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$$\mathtt{trans}: \mathtt{PF}(\mathtt{EQ_T}(\tau_1,\tau_2)) \to \mathtt{PF}(\mathtt{EQ_T}(\tau_2,\tau_3)) \to \mathtt{PF}(\mathtt{EQ_T}(\tau_1,\tau_3)) :=$$

Proofs of Type Equality in Haskell

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Proofs of Type Equality in Haskell

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Casting elim definable, too:

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e because
$$p := p[\lambda_c u :: T. u]$$
 e

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Make the proof terms static

Static Proofs

```
\kappa \ ::= \ \dots \ | \operatorname{PROP} | \operatorname{PF}(\phi) \sigma, \iota, \phi, \pi \ ::= \ \dots  | \operatorname{EQ}_{\kappa}(\sigma_1, \sigma_2)  | \operatorname{refl} \sigma | \operatorname{sym} \pi | \operatorname{trans} \pi_{12} \pi_{23}  | \operatorname{Eq\_zz} | \operatorname{Eq\_ss} | \dots
```

Key zipApp constraint:

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Binary search constraints

 need hypothetical reasoning

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What about the \forall ?

Binary search constraints

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Need a more expressive logic

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- Proving is nothing new

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How do we set it up?

Propositions

Introduce richer set of propositions:

$$\kappa ::= \dots | PROP | \dots$$

$$\sigma, \iota, \phi, \pi ::= \dots | \forall \mathbf{u} :: \kappa. \phi | \exists \mathbf{u} :: \kappa. \phi | \phi_1 \supset \phi_2$$

$$| \phi_1 \wedge \phi_2 | \phi_1 \vee \phi_2 | \top | \bot$$

Restrict to FOL in formation rules

Proofs are Constructor-level Programs

$$\kappa \ ::= \ \ldots \ | \ \Pi_k \ u_1 :: \kappa_1 . \ \kappa_2 \ | \ \Sigma_k \ u_1 :: \kappa_1 . \ \kappa_2 \ | \ \kappa_1 +_k \kappa_2 \\ | \ UNIT \ | \ VOID$$

$$\sigma, \pi, \phi, \iota \ ::= \ \ldots \ | \ u \ | \ \lambda_c \ u :: \kappa. \ \sigma \ | \ \sigma_1 \ \sigma_2 \\ | \ pack_c \ (\sigma_1, \sigma_2) \ as \ \Sigma_k \ u :: \kappa_1 . \ \kappa_2 \ | \ fst_c \ \sigma \ | \ snd_c \ \sigma \\ | \ inl_c^{\kappa_2} \ \sigma \ | \ inr_c^{\kappa_1} \ \sigma \\ | \ case_c \ \sigma \ of \ (inl \ u_1 \Rightarrow \sigma_1 \ | \ inr \ u_2 \Rightarrow \sigma_2) \\ | \ unit_c \ | \ abort_c^{\kappa} \ \sigma$$

Proofs are Constructor-level Programs

$$\Delta \vdash PF(\forall u :: \kappa. \phi) \equiv \Pi_k u :: \kappa. PF(\phi)$$
kind

$$\Delta \vdash PF(\exists u :: \kappa. \phi) \equiv \Sigma_k u :: \kappa. PF(\phi) \text{ kind}$$

$$\Delta \vdash PF(\phi_1 \supset \phi_2) \equiv \Pi_k \underline{\hspace{0.1cm}} :: PF(\phi_1). PF(\phi_2) \text{ kind}$$

plus is Commutative

```
Recall plus ::= \lambda_c i, j :: I. NATrec_c i of (z \Rightarrow j | s i' with res \Rightarrow s res)
```

We can give a $PF(\forall i, j :: I. EQ_I(plus i j, plus j i))$

- by induction (primitive recursion) on i
- uses lemmas

```
\texttt{plus\_rhz} :: \texttt{PF}(\forall \, \texttt{i}, \, \texttt{j} :: \texttt{I}. \, \texttt{EQ}_{\texttt{I}}(\texttt{plus} \, \texttt{i} \, \texttt{z}, \, \texttt{i})) \texttt{plus\_rhs} :: \texttt{PF}(\forall \, \texttt{i}, \, \texttt{j} :: \texttt{I}. \, \texttt{EQ}_{\texttt{I}}(\texttt{plus} \, \texttt{i} \, (\texttt{s} \, \texttt{j}), \, \texttt{s} \, (\texttt{plus} \, \texttt{i} \, \texttt{j})))
```

Key Design Issues

- 1. Indices as static data
- 2. Notions of equality
- 3. Proofs and propositions
- 4. Using proofs in run-time terms

Can We Finish Off zipApp?

Given the PF(\forall i, j :: I. EQ_I(plus i j, plus j i)), can we use because rule to finish off zipApp?

$$\frac{\Delta \; ; \; \Gamma \vdash \mathsf{e} : \tau \quad \Delta \vdash \pi :: \mathsf{PF}(\mathsf{EQ_T}(\tau, \tau'))}{\Delta \; ; \; \Gamma \vdash \mathsf{e} \; \mathsf{because} \; \pi : \tau'}$$

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Need a

$$PF(\forall i, j :: I. EQ_T(list(\tau)(plus i j), list(\tau)(plus j i)))$$

Can We Finish Off zipApp?

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$$\frac{\Delta \; ; \; \Gamma \; \vdash \mathsf{e} \; : \tau \quad \Delta \; \vdash \pi \; :: \mathsf{PF}(\mathsf{EQ_T}(\tau, \tau'))}{\Delta \; ; \; \Gamma \; \vdash \mathsf{e} \; \mathsf{because} \; \pi \; : \tau'}$$

• Need a $PF(\forall \mathtt{i},\mathtt{j} :: \mathtt{I}. \, \mathsf{EQ_T}(\mathtt{list}(\tau)(\mathtt{plus} \, \mathtt{i} \, \mathtt{j}), \mathtt{list}(\tau)(\mathtt{plus} \, \mathtt{j} \, \mathtt{i})))$

Seems like we need congruence constants

Congruence Constants are Avoidable

The because rule can reach inside a type and substitute:

$$\frac{\Delta \; ; \; \Gamma \vdash e : [\sigma_1/u]\tau \quad \Delta \vdash \pi :: PF(EQ_{\kappa}(\sigma_1, \sigma_2))}{\Delta \; ; \; \Gamma \vdash e \; because \; \pi u \kappa \tau : [\sigma_2/u]\tau}$$

Finishing Off zipApp

Subset Sorts are Proof Quantification

Xi's subset sorts restrict indices to those that satisfy certain propositions:

$$\mathtt{nth}: \Pi \ \mathtt{i}, \mathtt{j} :: \mathtt{I} \ | \ \mathtt{Lt}_\mathtt{I}(\mathtt{i}, \mathtt{j}). \ \mathtt{list}(\tau)(\mathtt{j}) \to \mathtt{int}(\mathtt{i}) \to \tau$$

Subset Sorts are Proof Quantification

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We handle this by quantification over *proofs*:

$$\mathtt{nth}: \Pi \ \mathtt{i}, \mathtt{j} :: \mathtt{I}. \ \Pi \ \mathtt{p} :: \mathtt{PF}(\mathtt{Lt}_{\mathtt{I}}(\mathtt{i}, \mathtt{j})). \ \mathtt{list}(\tau)(\mathtt{j}) \to \mathtt{int}(\mathtt{i}) \to \tau$$

Subset Sorts are Proof Quantification

$$\begin{aligned} \mathtt{filter}: \Pi \ \mathtt{i} :: \mathrm{I.} \ (\tau \to 2) &\to \mathtt{list}(\tau)(\mathtt{i}) \to \\ \Sigma \ \mathtt{j} :: \mathrm{I} \ | \ \mathtt{Lt_I}(\mathtt{j},\mathtt{i}). \ \mathtt{list}(\tau)(\mathtt{j}) \end{aligned}$$

$$\texttt{filter}: \Pi \ \texttt{i} :: I. \ (\tau \to 2) \to \texttt{list}(\tau)(\texttt{i}) \to \\ \Sigma \ \texttt{j} :: I. \ \Sigma \ \texttt{p} :: PF(\texttt{Lt}_{\texttt{I}}(\texttt{j}, \texttt{i})). \ \texttt{list}(\tau)(\texttt{j})$$

Run-Time Checks are Proof Quantification

Key Design Issues

- 1. Indices as static data
- 2. Notions of equality
- 3. Proofs and propositions
- 4. Using proofs in run-time terms

Interesting Questions

Phase 1: Redo DML(Int) with explicit proofs

- Operational semantics: type-passing?
- Safety proof and because
- Types are not parametric in indices
- Fancier recursion
- Programmer-specified logic

[Crary, Vanderwaart]

Interesting Questions

Phase 2: Add constructs for declaring new kinds and constructors

- For the kind I, we needed:
 - > constructors s and z
 - primitive recursion
- We also declared new propositions such as $Lt_I(\iota_2, \iota_2)$

How does this generalize?

Interesting Questions

Phase 3: Reintroduce the constraint solvers as proof search tools

Programmer-Defined Index Domains

Thanks for listening!