

Towards Dependent Types over Programmer-Defined Index Domains

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Dependent Types

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- `cons : $\tau \rightarrow \text{list } (\tau) \rightarrow \text{list } (\tau)$`

`cons : $\prod i : \text{int}. \tau \rightarrow \text{list}(\tau)(i) \rightarrow \text{list}(\tau)(i + 1)$`

Dependent Types are Useful

- Express interesting properties
- Bake reasoning into the code
- Serve as machine-checked documentation
- Enable richer interfaces at module boundaries
- Obviate some dynamic checks

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Is there another way out?

Index Domains Solve these Problems

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- Indices are pure
- Constraint solver decides relationships between indices

DML Example

`append : $\Pi i, j :: I. \text{list}(\tau)(i) \times \text{list}(\tau)(j) \rightarrow \text{list}(\tau)(\text{plus } i \ j)$`

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$\text{fun zipApp } (l1, l2) =$

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Why does this type check?

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- Replace equal indices

DML Subset Sorts

Subset sorts require/assert the truth of a proposition:

$$\text{nth} : \Pi i, j :: I \mid i < j. \text{list}(\tau)(j) \rightarrow \text{int}(i) \rightarrow \tau$$
$$\text{filter} : \Pi i :: I. (\tau \rightarrow 2) \rightarrow \text{list}(\tau)(i) \rightarrow \\ \Sigma j :: I \mid j < i. \text{list}(\tau)(j)$$

These propositions about indices are checked/assumed by the constraint solver

DML(C) Language Schema

Different implementations use different index domains:

- Xi's DML has integer indices with linear integer constraints
- Another of Xi's uses finite sets with a constraint solver based on model checking
- Sarkar's language has LF terms as indices with a constraint solver based on Twelf

Problems with DML(C)

- *Language designer* chooses the constraint domain
- Particular constraint solver is part of the language specification

Our Goal Language

- *Programmer* specifies the index domains appropriate to her program
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Verifying interesting properties must be practical

Key Design Issues

1. Indices as static data
2. Notions of equality
3. Proofs and propositions
4. Using proofs in run-time terms

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Two Levels

- Types (τ) classify terms (e)
- Kinds (κ) classify constructors (σ)

Constructors of kind \top are types

Basic Expressions

$$\kappa ::= \mathbb{T}$$
$$\sigma, \tau ::= \tau_1 \rightarrow \tau_2 \mid \tau_1 \times \tau_2 \mid \tau_1 + \tau_2 \mid \text{unit} \mid \text{void}$$
$$\begin{aligned} e ::= & \mathbf{x} \mid \lambda \mathbf{x} : \tau. e \mid e_1 e_2 \mid \text{fix } e \\ & \mid (e_1, e_2) \mid \text{fst } e \mid \text{snd } e \\ & \mid \text{inl}^{\tau_2} e \mid \text{inr}^{\tau_1} e \\ & \mid \text{case } e \text{ of } (\text{inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2) \\ & \mid () \mid \text{abort}^{\tau} e \end{aligned}$$

Static Semantics

Separate contexts so phase distinction is as clear as in ML:

$$\begin{aligned}\Gamma & ::= \cdot \mid \Gamma, \mathbf{x} : \tau \\ \Delta & ::= \cdot \mid \Delta, \mathbf{u} :: \kappa\end{aligned}$$

Basic judgements:

- $\Delta \vdash \kappa \text{ kind}$
- $\Delta \vdash \sigma :: \kappa$
- $\Delta ; \Gamma \vdash e : \tau$

Index Domains are Kinds

Indices are *static* proxies for run-time data:

- Indices are constructors
- An index domain is a kind

Index Domains are Kinds

$$\kappa ::= \mathbf{T} \mid \mathbf{I}$$
$$\begin{aligned} \sigma, \tau, \iota & ::= \dots \\ & \mid \mathbf{int}(\iota) \mid \mathbf{list}(\tau)(\iota) \\ & \mid \mathbf{z} \mid \mathbf{s} \iota \end{aligned}$$
$$e ::= \dots \mid \mathbf{n} \mid e_1 + e_2 \mid \mathbf{cons} \ e_1 \ e_2 \mid \dots$$

Kinding of Indices and Types

$$\frac{}{\Delta \vdash z :: I} \quad \frac{\Delta \vdash \iota :: I}{\Delta \vdash s \iota :: I}$$

$$\frac{\Delta \vdash \iota :: I}{\Delta \vdash \text{int}(\iota) :: T} \quad \frac{\Delta \vdash \tau :: T \quad \Delta \vdash \iota :: I}{\Delta \vdash \text{list}(\tau)(\iota) :: T}$$

Primitives have Index-Aware Types

$$\frac{}{\Delta; \Gamma \vdash n : \text{int}(s^n z)} \quad \frac{\Delta; \Gamma \vdash e_1 : \text{int}(\iota_1) \quad \Delta; \Gamma \vdash e_2 : \text{int}(\iota_2)}{\Delta; \Gamma \vdash e_1 + e_2 : \text{int}(\text{plus } \iota_1 \iota_2)}$$

$$\frac{\Delta; \Gamma \vdash e_1 : \tau \quad \Delta; \Gamma \vdash e_2 : \text{list}(\tau)(\iota)}{\Delta; \Gamma \vdash \text{cons } e_1 e_2 : \text{list}(\tau)(s \iota)}$$

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What's plus?

Recursion and Functions

$$\kappa ::= \mathbf{T} \mid \mathbf{I} \mid \kappa_1 \rightarrow \kappa_2$$

$$\sigma, \tau, \iota ::= \dots$$

$$\mid \mathbf{NATrec}_c \iota \text{ of } (\mathbf{z} \Rightarrow \sigma_1 \mid \mathbf{s} \text{ i' with res} \Rightarrow \sigma_2)$$

$$\mid \mathbf{u} \mid \lambda_c \mathbf{u} :: \kappa. \sigma \mid \sigma_1 \sigma_2$$

Kind formation and kinding rules are standard

plus is Definable

$\text{plus} ::= \lambda_c i, j :: \text{I.NATrec}_c \text{ i of } (z \Rightarrow j \mid s \text{ i}' \text{ with res} \Rightarrow s \text{ res})$

Dependent Types are Polymorphism

$\text{append} : \Pi i, j :: I. \text{list}(\tau)(i) \times \text{list}(\tau)(j) \rightarrow \text{list}(\tau)(\text{plus } i \ j)$

Some terms require/produce indices

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$$\sigma, \tau, \iota ::= \dots \mid \Pi u :: \kappa. \tau \mid \Sigma u :: \kappa. \tau$$
$$e ::= \dots \mid \Lambda u :: \kappa. e \mid e[\sigma] \\ \mid \text{pack } (\sigma, e) \text{ as } (\Sigma u :: \kappa. \tau) \\ \mid \text{unpack } (u, x) = e_1 \text{ in } e_2$$

Dependent Functions

$$\frac{\Gamma; \Delta, u :: \kappa \vdash e : \tau}{\Delta; \Gamma \vdash \Lambda u :: \kappa. e : \Pi u :: \kappa. \tau}$$

$$\frac{\Delta; \Gamma \vdash e : \Pi u :: \kappa. \tau \quad \Delta \vdash \sigma :: \kappa}{\Delta; \Gamma \vdash e[\sigma] : [\sigma/u]\tau}$$

Dependent Pairs

$$\frac{\Delta \vdash \sigma :: \kappa \quad \Delta; \Gamma \vdash e : [\sigma/u]\tau}{\Delta; \Gamma \vdash \text{pack } (\sigma, e) \text{ as } (\Sigma u :: \kappa. \tau) : \Sigma u :: \kappa. \tau}$$

$$\frac{\Delta; \Gamma \vdash e_1 : \Sigma u :: \kappa_1. \tau_1 \quad \Gamma, x : \tau_1; \Delta, u :: \kappa_1 \vdash e_2 : \tau_2 \quad \Delta \vdash \tau_2 \text{ type}}{\Delta; \Gamma \vdash \text{unpack } (u, x) = e_1 \text{ in } e_2 : \tau_2}$$

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Definitional Equality

- Given by some terminating decision procedure (often reduction to normal form)
- Type system always allows the silent replacement of definitional equals; e.g.,

$$\frac{\Delta; \Gamma \vdash e : \tau \quad \Delta \vdash \tau \equiv \tau' :: \mathbb{T}}{\Delta; \Gamma \vdash e : \tau'}$$

Definitional Equality Judgements

- $\Delta \vdash \kappa_1 \equiv \kappa_2$ kind
congruent equivalence relation
- $\Delta \vdash \sigma_1 \equiv \sigma_2 :: \kappa$
congruent equivalence relation with β , rules for
primitive recursion, etc.
- None for terms

zipApp with Definitional Equality

Key constraint: $\forall i, j :: I. \text{plus } i \ j = \text{plus } j \ i$

Does $=$ mean \equiv ?

Is commutativity of addition part of definitional equality?

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- What if we forget commutativity of multiplication?
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Programmer must be allowed to add new equalities!

Propositional Equality

Add separate notion of *propositional equality* ($\text{EQ}_\kappa(\sigma_1, \sigma_2)$) introduced by explicit proofs

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We might make $\text{PF}(\text{EQ}_\kappa(\sigma_1, \sigma_2))$ a type with inhabitants

- $\text{refl } s \ z : \text{PF}(\text{EQ}_I(s \ z, s \ z))$
- $\text{Eq_ss} : \Pi i, j :: I. \text{PF}(\text{EQ}_I(i, j)) \rightarrow \text{PF}(\text{EQ}_I(s \ i, s \ j))$

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- $\text{Eq_ss} : \Pi i, j :: I. \text{PF}(\text{EQ}_I(i, j)) \rightarrow \text{PF}(\text{EQ}_I(s \ i, s \ j))$

How can you use a $\text{PF}(\text{EQ}_I(i, j))$?

Extensional Equality Elim Rule

Propositional equality induces definitional equality:

$$\frac{\pi : \text{PF}(\text{EQ}_{\kappa}(\sigma_1, \sigma_2))}{\sigma_1 \equiv \sigma_2 :: \kappa}$$

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$$\frac{\pi : \text{PF}(\text{EQ}_{\mathcal{K}}(\sigma_1, \sigma_2))}{\sigma_1 \equiv \sigma_2 :: \mathcal{K}}$$

- Called the *equality reflection* or *extensionality* rule
- Studied in Martin-Löf's extensional type theory
[Martin-Löf; Constable et al.; Hofmann]
- Makes type checking undecidable

Intensional Equality Elim Rule

Explicitly use an equality proof to change the type of a particular term:

$$\frac{\Delta; \Gamma \vdash e : \text{int}(\iota_1) \quad \Delta; \Gamma \vdash \pi : \text{PF}(\text{EQ}_I(\iota_1, \iota_2))}{\Delta; \Gamma \vdash e \text{ because } \pi : \text{int}(\iota_2)}$$

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- Studied in intensional Martin-Löf type theory
- Preserves decidability of type checking
- Some “extensional concepts” can be added

[Hofmann; Altenkirch]

Quiz

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In both views, definitional equality is more complicated than simple expansion of definitions

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Proofs of Type Equality in Haskell

Recently, proofs of *type* equality in Haskell have been studied with applications to:

- `type dynamic`

[Baars, Swierstra; Cheney, Hinze; Weirich]

- `polytypic programming`

[Cheney, Hinze]

- `tagless interpreters and metaprogramming`

[Sheard, Pasalic; Peyton Jones]

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Casting elim definable, too:

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$$e \text{ because } p := p[\lambda_c u :: T. u] e$$

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Make the proof terms static

Static Proofs

$\kappa ::= \dots \mid \text{PROP} \mid \text{PF}(\phi)$

$\sigma, \iota, \phi, \pi ::= \dots$
| $\text{EQ}_\kappa(\sigma_1, \sigma_2)$
| $\text{refl } \sigma \mid \text{sym } \pi \mid \text{trans } \pi_{12}\pi_{23}$
| $\text{Eq_zz} \mid \text{Eq_ss} \mid \dots$

Are These Propositions Enough?

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Need a more expressive logic

Intuitionistic Logic is a Good Option

- Economy of constructs
- Proving is nothing new

We could pick something else, though
(continuation-based classical logic)

Intuitionistic Logic is a Good Option

- Economy of constructs
- Proving is nothing new

We could pick something else, though
(continuation-based classical logic)

How do we set it up?

Propositions

Introduce richer set of propositions:

$$\kappa ::= \dots \mid \text{PROP} \mid \dots$$

$$\begin{aligned} \sigma, \iota, \phi, \pi ::= & \dots \mid \forall u :: \kappa. \phi \mid \exists u :: \kappa. \phi \mid \phi_1 \supset \phi_2 \\ & \mid \phi_1 \wedge \phi_2 \mid \phi_1 \vee \phi_2 \mid \top \mid \perp \end{aligned}$$

Restrict to FOL in formation rules

Proofs are Constructor-level Programs

$$\kappa ::= \dots \mid \Pi_{\mathbf{k}} \mathbf{u}_1 :: \kappa_1. \kappa_2 \mid \Sigma_{\mathbf{k}} \mathbf{u}_1 :: \kappa_1. \kappa_2 \mid \kappa_1 +_{\mathbf{k}} \kappa_2$$
$$\mid \text{UNIT} \mid \text{VOID}$$
$$\sigma, \pi, \phi, \iota ::= \dots \mid \mathbf{u} \mid \lambda_{\mathbf{c}} \mathbf{u} :: \kappa. \sigma \mid \sigma_1 \sigma_2$$
$$\mid \text{pack}_{\mathbf{c}} (\sigma_1, \sigma_2) \text{ as } \Sigma_{\mathbf{k}} \mathbf{u} :: \kappa_1. \kappa_2 \mid \text{fst}_{\mathbf{c}} \sigma \mid \text{snd}_{\mathbf{c}} \sigma$$
$$\mid \text{inl}_{\mathbf{c}}^{\kappa_2} \sigma \mid \text{inr}_{\mathbf{c}}^{\kappa_1} \sigma$$
$$\mid \text{case}_{\mathbf{c}} \sigma \text{ of } (\text{inl } \mathbf{u}_1 \Rightarrow \sigma_1 \mid \text{inr } \mathbf{u}_2 \Rightarrow \sigma_2)$$
$$\mid \text{unit}_{\mathbf{c}} \mid \text{abort}_{\mathbf{c}}^{\kappa} \sigma$$

Proofs are Constructor-level Programs

$$\frac{}{\Delta \vdash \text{PF}(\forall u :: \kappa. \phi) \equiv \Pi_{\kappa} u :: \kappa. \text{PF}(\phi) \text{ kind}}$$

$$\frac{}{\Delta \vdash \text{PF}(\exists u :: \kappa. \phi) \equiv \Sigma_{\kappa} u :: \kappa. \text{PF}(\phi) \text{ kind}}$$

$$\frac{}{\Delta \vdash \text{PF}(\phi_1 \supset \phi_2) \equiv \Pi_{\kappa} _ :: \text{PF}(\phi_1). \text{PF}(\phi_2) \text{ kind}}$$

plus is Commutative

Recall `plus ::=`

`λc i, j :: I. NATrecc i of (z ⇒ j | s i' with res ⇒ s res)`

We can give a `PF(∀ i, j :: I. EQI(plus i j, plus j i))`

- by induction (primitive recursion) on `i`
- uses lemmas

`plus_rhz :: PF(∀ i, j :: I. EQI(plus i z, i))`

`plus_rhs :: PF(∀ i, j :: I. EQI(plus i (s j), s (plus i j)))`

Key Design Issues

1. Indices as static data
2. Notions of equality
3. Proofs and propositions
4. Using proofs in run-time terms

Can We Finish Off zipApp?

Given the PF($\forall i, j :: I. EQ_I(\text{plus } i \ j, \text{plus } j \ i)$), can we use because rule to finish off zipApp?

$$\frac{\Delta ; \Gamma \vdash e : \tau \quad \Delta \vdash \pi :: \text{PF}(EQ_T(\tau, \tau'))}{\Delta ; \Gamma \vdash e \text{ because } \pi : \tau'}$$

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- Need a
 $\text{PF}(\forall i, j :: I. EQ_T(\text{list}(\tau)(\text{plus } i \ j), \text{list}(\tau)(\text{plus } j \ i)))$

Can We Finish Off zipApp?

Given the $\text{PF}(\forall i, j :: I. \text{EQ}_I(\text{plus } i \ j, \text{plus } j \ i))$, can we use because rule to finish off zipApp?

$$\frac{\Delta ; \Gamma \vdash e : \tau \quad \Delta \vdash \pi :: \text{PF}(\text{EQ}_T(\tau, \tau'))}{\Delta ; \Gamma \vdash e \text{ because } \pi : \tau'}$$

- Need a $\text{PF}(\forall i, j :: I. \text{EQ}_T(\text{list}(\tau)(\text{plus } i \ j), \text{list}(\tau)(\text{plus } j \ i)))$
- Seems like we need congruence constants

Congruence Constants are Avoidable

The because rule can reach inside a type and substitute:

$$\frac{\Delta; \Gamma \vdash e : [\sigma_1/u]\tau \quad \Delta \vdash \pi :: \text{PF}(\text{EQ}_\kappa(\sigma_1, \sigma_2))}{\Delta; \Gamma \vdash e \text{ because } \pi u \kappa \tau : [\sigma_2/u]\tau}$$

Finishing Off zipApp

```
p :: PF(∀ i, j :: I. EQI(plus i j, plus j i))
```

```
FN i, j :: I =>
```

```
fn (lst1, lst2) =>
```

```
    zip (append (lst1, lst2),
```

```
        (append (lst2, lst1)
```

```
          because (sym (p i j))
```

```
          as u :: I. (list t u))
```

```
: Π i, j :: I. list(τ)(i) × list(τ)(j) → list(τ × τ)(plus i j)
```

Subset Sorts are Proof Quantification

Xi's subset sorts restrict indices to those that satisfy certain propositions:

$$\text{nth} : \Pi i, j :: I \mid \text{Lt}_I(i, j). \text{list}(\tau)(j) \rightarrow \text{int}(i) \rightarrow \tau$$

Subset Sorts are Proof Quantification

Xi's subset sorts restrict indices to those that satisfy certain propositions:

$$\text{nth} : \Pi i, j :: I \mid \text{Lt}_I(i, j). \text{list}(\tau)(j) \rightarrow \text{int}(i) \rightarrow \tau$$

We handle this by quantification over *proofs*:

$$\text{nth} : \Pi i, j :: I. \Pi p :: \text{PF}(\text{Lt}_I(i, j)). \text{list}(\tau)(j) \rightarrow \text{int}(i) \rightarrow \tau$$

Subset Sorts are Proof Quantification

$$\text{filter} : \Pi i :: I. (\tau \rightarrow 2) \rightarrow \text{list}(\tau)(i) \rightarrow \\ \Sigma j :: I \mid \text{Lt}_I(j, i). \text{list}(\tau)(j)$$
$$\text{filter} : \Pi i :: I. (\tau \rightarrow 2) \rightarrow \text{list}(\tau)(i) \rightarrow \\ \Sigma j :: I. \Sigma p :: \text{PF}(\text{Lt}_I(j, i)). \text{list}(\tau)(j)$$

Run-Time Checks are Proof Quantification

< :

$$\begin{aligned} \prod i, j :: I. \text{int}(i) \times \text{int}(j) \rightarrow & \Sigma p :: \text{PF}(\text{Lt}_I(i, j)). \text{unit} \\ & + \Sigma p :: \text{PF}(\text{Gte}_I(i, j)). \text{unit} \end{aligned}$$

Key Design Issues

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Interesting Questions

Phase 1: Redo DML(Int) with explicit proofs

- Operational semantics: type-passing?
- Safety proof and because
- Types are *not* parametric in indices
- Fancier recursion
- Programmer-specified *logic*

[Crary, Vanderwaart]

Interesting Questions

Phase 2: Add constructs for declaring new kinds and constructors

- For the kind I , we needed:
 - ▷ constructors s and z
 - ▷ primitive recursion
 - ▷ inductive equality proof constructors $Eq_{ss} \dots$
- We also declared new propositions such as $Lt_I(l_2, l_2)$

How does this generalize?

Interesting Questions

Phase 3: Reintroduce the constraint solvers as proof search tools

Programmer-Defined Index Domains

Thanks for listening!